



01-31-03

#9
04/04/03
1711Please type a plus sign (+) inside this box → ☐PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/057,506	
	Filing Date	January 23, 2002	
	First Named Inventor	Wellinghoff et al.	
	Group Art Unit	Not Yet Assigned	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	4+	Attorney Docket Number	SWRI-2835-03

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Supplemental Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) 14 References; 2) Certificates of transmission under 37 CFR 1.10
Remarks The Commissioner is hereby authorized to charge any additional fees or credit any overpayments to Deposit Account No. 50-0997 (SWRI 2835-03), maintained by Paula D. Morris & Associates, P.C.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Paula D. Morris, Registration No. 31,516
Signature	<i>Paula D. Morris</i>
Date	January 30, 2003

RECEIVED

FEB 04 2003

TC 1700

RECEIVED
MAR 17 2003

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____	
Typed or printed name	Please see attached certificate of transmission under 37 CFR 1.10
Signature	Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Certificate of Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service, under 37 CFR 1.10 on the date indicated below addressed to:

BOX NON FEE AMENDMENT
Assistant Commissioner for Patents
Washington D.C. 20231

on January 30, 2003
Date

EV 207818789 US
Express Mail Label No.

Ann Marie Alaniz
Signature

Ann Marie Alaniz
Typed or Printed Name

RECEIVED
FEB 04 2003
TC 1700

Note: Each paper must have its own certificate of Mailing or this certificate must identify each submitted paper

Transmittal form, Supplemental Information Disclosure Statement, PTO Form 1449, 14 References ^{1-30-03 ama} and return receipt postcard.

Applicant:	<u>Wellinghoff & Hanson</u>	Group Art Unit:	<u>Not Yet Assigned</u>
Serial No.:	<u>10/057,506</u>	Examiner:	<u>Not Yet Assigned</u>
Filing Date:	<u>January 23, 2002</u>	Atty. Docket No.:	<u>SWRI-2835-03</u>
Title:	<u>Novel Methods and Blends for Controlling Rheology and Transition Temperature of Liquid Crystals</u>		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Wellinghoff, et al. § Group Art Unit: Not Yet Assigned
Serial No.: 10/057,506 § Examiner: Not Yet Assigned
Filed: 01/23/2002 § Atty. Docket No.: SWRI-2835-03
Title: Novel Methods and Blends for Controlling Rheology and Transition
Temperature of Liquid Crystals

BOX NON FEE AMENDMENT
Commissioner of Patents and Trademarks
Washington, D.C. 20231

RECEIVED

FEB 04 2003

TC 1700

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Supplemental Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. § 1.56 exists.

The commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. **50-0997 (SWRI-2835-03)**, maintained by Paula D. Morris & Associates, P.C..

Respectfully submitted,

Paula D. Morris, Registration No. 31,516
Paula D. Morris & Associates, PC
2925 Briarpark Drive, Suite 930
Houston, Texas 77042-3728
Telephone: (713) 334-5151
Facsimile: (713) 334-5157

English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**